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2005 Market Street
Philadelphia PA 19103

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OFFICE OF PETITIONS

In re Application of	:	Paper No. 6
Hsin-Tsai WU	:	
Application No. 09/939,882	:	
Patent No. 6,543,073	:	NOTICE
Filed: August 27, 2001	:	
Attorney Docket No. 6438-221US (PE-20709-AM)	:	

This is a notice regarding your request for acceptance of a fee deficiency submission under 37 CFR 1.28.

The Office no longer investigates or rejects original or reissue patent under 37 CFR 1.56. **1098 Off. Gaz. Pat. Office 502 (January 3, 1989)**. Therefore, nothing in this Notice is intended to imply that an investigation was done.

Your fee deficiency submission under 37 CFR 1.28 is hereby **NOT ACCEPTED**.

The application file does not indicate a change of address has been filed in this case, although the address given on the petition differs from the address of record. A change of address should be filed in this case in accordance with MPEP 601.03. A courtesy copy of this decision is being mailed to the address noted on the petition. However, until otherwise instructed, all future correspondence regarding this application will be mailed solely to the address of record.

It appears that the petition was not properly signed by a person having authority to prosecute in the above-identified patent. Chris Chen does not have authority and has not properly signed the request. Hsin-Tsai Wu only signed the cover sheet of the petition. Also, it is not clear from the file record if Chris Chen is authorized to act on behalf of the assignee. Petitioner should note that an assignee must comply with the requirements of 37 CFR 3.73(b). See MPEP § 324. Therefore, the request can not be accepted at this time.

Petitioner's attention is directed to 37 CFR 1.33(b), which states.

(b) Amendments and other papers. Amendments and other papers, except for written assertions pursuant to § 1.27(c)(2)(ii) of this part, filed in the application must be signed by:

- (1) A registered patent attorney or patent agent of record appointed in compliance with § 1.32(b);
- (2) A registered patent attorney or patent agent not of record who acts in a representative capacity under the provisions of § 1.34;
- (3) An assignee as provided for under § 3.71(b) of this chapter; or
- (4) All of the applicants (§ 1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter.

Accordingly, the request cannot be accepted until it is signed by all inventors, an attorney /agent who is registered to practice before the U.S. Patent and Trademark Office, or the assignee of the entire interest. If the request is signed by an assignee, the assignee the assignee must comply with the requirements of 37 CFR 3.73(b).

Inquiries related to this communication should be directed to the undersigned at (571) 272-2783.

/Tredelle D. Jackson/
Paralegal Specialist
Office of Petitions

cc: **CHRIS CHEN**
IPRM DIVISION
SOHARE INFORMATION CO., LTD.
3RD FLOOR, NO. 52, SECTION 2, CHANG-AN EAST ROAD
TAIPEI, TAIWAN

cc: **HSIN-HSAI WU**
1F., NO. 19, ALLEY 3, LANE 106, SEC. 3
MIN-CHUAN E. RD.
TAIPEI CITY, TAIWAN